

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
KPO-LTT-P1/LTT-85/

In Re Application Of: Ishihara, T.

| Application No. | Filing Date | Examiner       | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|----------------|--------------|----------------|------------------|
| 10/550,990      | N/A         | to be assigned | 44702        | 1615           | 8274             |

Title: Intravenous nanoparticles for targeting drug delivery and sustained drug release

Address to:  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: March 28, 2006

Manette Dennis (Reg. No. 30,623)  
 Ostrager Chong Flaherty & Broitman P.C.  
 250 Park Avenue, Suite 825  
 New York, New York 10177-0899

CC: Dr. Osamu Kusama

Application Serial No. 10/550,990  
Information Disclosure Statement – Additional Sheet

Sheet 2 of 3

Application Serial No. 10/550,990

Applicants: ISHIHARA, T

entitled: INTRAVENOUS NANOPARTICLES FOR TARGETING DRUG DELIVERY  
AND SUSTAINED DRUG RELEASE

EP 1 398 025 A is the European regional application corresponding to WO 02/096396 A1. WO 02/096396 A1 is listed on the International Search Report for PCT/JP2004/003246 and was published on Dec. 5, 2002. EP 1 398 025 A1 is provided as an English equivalent to WO 02/096396 A1.

Japanese National Publication No. Hei 10-511957 is listed on page 1 of the application as filed. It is the Japanese National Phase application for International Application No. PCT/US96/00476. International Application No. PCT/US96/00476 was published as WO 96/20698, which is enclosed as a translation of Japanese National Publication No. Hei 10-511957.

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| Substitute for form 1449A/PTO                            |   |    |   | <i>Complete if Known</i> |                      |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   |    |   | Application Number       | 10/550,990           |
|  |   |    |   | Filing Date              | N/A                  |
|  |   |    |   | First Named Inventor     | Ishihara, T.         |
|  |   |    |   | Art Unit                 | 1615                 |
|  |   |    |   | Examiner Name            | to be assigned       |
|  |   |    |   | Attorney Docket Number   | KPO-LTT-PI/LTT-85/US |
| Sheet  | 1 | of | 3 |                          |                      |

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   |                     | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---------------------------|---------------------|--------------------------------|--|--|----------------|
|                    |                       | Country Code <sup>3</sup> | Number <sup>4</sup> |                                |  |  |                |
|                    | d                     | EP                        | 1 002 529           | A1                             | 05-24-2000   | Takeda Chemical Industries   | whole document |
|                    | e                     | EP                        | 1 398 025           | A1                             | 03-17-2004   | LT T Bio-Pharma Co., Ltd.  | whole document |
|                    |                       |                           |                     |                                |  |  |                |
|                    | f                     | JP                        | 8-217691            |                                | 08-27-1996   | Takeda Chem Ind Ltd  | whole document |
|                    | g                     | JP                        | Hei 10-511957       |                                | 11-17-1998   | Univ Michigan  | whole document |
|                    | h                     | JP                        | 2003-342196         |                                | 12-03-2003   | Mukku:KK   | whole document |
|                    |                       |                           |                     |                                |  |  |                |
|                    |                       |                           |                     |                                |  |  |                |

Examiner Signature \_\_\_\_\_ Date Considered \_\_\_\_\_

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional). See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| Substitute for form 1449B/PTO                            |   | <i>Complete if Known</i>      |                |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   | <i>Application Number</i>     | 10/550,990     |
| <i>(Use as many sheets as necessary)</i>                 |   | <i>Filing Date</i>            | N/A            |
|  |   | <i>First Named Inventor</i>   | Ishihara, T.   |
|  |   | <i>Art Unit</i>               | 1615           |
|  |   | <i>Examiner Name</i>          | to be assigned |
| Sheet  | 2 | of                            | 3              |
|  |   | <i>Attorney Docket Number</i> |                |
|  |   | KPO-LTT-P1/LLT-85/US          |                |

## NON PATENT LITERATURE DOCUMENTS

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

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**1** Applicant's unique citation designation number (optional). **2** Applicant is to place a check mark here if English language Translation is attached.

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